



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/866,030 | 05/25/2001 | Ragbir S. Bhullar | RDID 0090 US |

CONFIRMATION NO. 4269

FORMALITIES LETTER



OC00000006337746

Jill L. Woodburn
The Law Office of Jill L. Woodburn L.L.C.
6633 Old Stonehouse Dr.
Newburgh, IN 47630

Date Mailed: 07/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/29/2001 AWONDAF1 00000001 022958 09866030

01 FC:105 130.00 CH



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|----------------------|------------------------|-------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/866,030 | |
| | Filing Date | May 25, 2000 | |
| | First Named Inventor | BHULLAR et al. | |
| | Group Art Unit | 1743 | |
| | Examiner Name | Unknown | |
| Total Number of Pages in This Submission | 16+ refs | Attorney Docket Number | RDID 0090US |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>22 references</div> |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|------------------------------|
| Firm or Individual name | Jill L. Woodburn Reg. 39,874 |
| Signature | <i>Jill L. Woodburn</i> |
| Date | August 22, 2001 |

| CERTIFICATE OF MAILING | | | |
|---|-------------------------|------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>Aug. 22, 2001</u> | | | |
| Typed or printed name | Jill L. Woodburn | | |
| Signature | <i>Jill L. Woodburn</i> | Date | Aug. 22, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130

Compleat if Known

| | |
|----------------------|----------------|
| Application Number | 09/866,030 |
| Filing Date | May 25, 2000 |
| First Named Inventor | BHULLAR et al. |
| Examiner Name | Unknown |
| Group Art Unit | 1743 |
| Attorney Docket No. | RDID 0090 US |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 02-2958
- Deposit Account Name: Roche Diagnostics Corporation

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 710 | 201 355 | Utility filing fee | |
| 106 | 320 | 206 160 | Design filing fee | |
| 107 | 490 | 207 245 | Plant filing fee | |
| 108 | 710 | 208 355 | Reissue filing fee | |
| 114 | 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) -

2. EXTRA CLAIM FEES

| | Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|--------------|----------------|----------|
| Independent Claims | | -20** = | X | |
| Multiple Dependent | | -3** = | X | |

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-----|----------------------------|----------------------------|--|
| 103 | 18 | 203 9 | Claims in excess of 20 |
| 102 | 80 | 202 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) -

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SiR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SiR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 390 | 216 195 | Extension for reply within second month | |
| 117 | 890 | 217 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 155 | Notice of Appeal | |
| 120 | 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 135 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 220 | Design issue fee | |
| 144 | 600 | 244 300 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130

SUBMITTED BY

| | | | | | |
|-------------------|------------------|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Jill L. Woodburn | Registration No. (Attorney/Agent) | 39,874 | Telephone | 812 842-2660 |
| Signature | Jill L. Woodburn | Date | Aug. 22, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.